



Attorney Docket No.: 99.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application of: George, et al.

Serial No.: 09/580743

Group Art Unit: 1712

Filed: 26 May 2000

Examiner: Lovering

For: Water-Thin Emulsions With Low Emulsifier Levels

#713
8/27/02
9c

Transmittal of Information Disclosure Statement under 37 C.F.R. 1.97(c)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicant submits herewith references which he believes may be material to the examination of this application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While the references may be "material" under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are "prior art" unless specifically designated as such.

The filing of this Information Disclosure Statement shall not be construed as a representation that no other material references exist or that a search has been conducted.

The references are listed on the enclosed PTO form 1449 which is in accordance with the requirements of M.P.E.P. 609. An English-language search report indicating the degree of relevance found by a foreign patent office is enclosed to satisfy the requirement regarding a concise explanation of foreign language references.

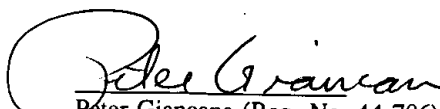
It is respectfully requested that these references be considered by the Patent and Trademark Office in its examination of the above-identified application and be made of record therein. The Examiner is also invited to contact the undersigned if there are any questions concerning this paper or the attached references.

This Information Disclosure Statement is being submitted after the first Office Action on the merits of this application, but prior to Final Rejection under 37 C.F.R. 1.113, or a Notice of Allowance under 37 C.F.R. 1.311.

Each item of information in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application more than three months prior to the filing of this Information Disclosure Statement. A copy of the foreign patent office Search Report with which the documents were provided, showing a mailing date of March 11, 2002, is enclosed.

Date: AUG 19, 2002

Respectfully submitted,


Peter Giancana (Reg. No. 44,706)
The Estee Lauder Companies, Inc.
125 Pinelawn Road
Melville, NY 11747

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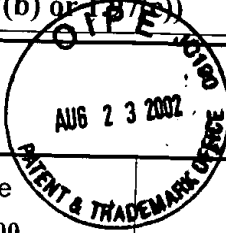
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
99.01

In Re Application Of:
George, et al.



Serial No.
09/580743

Filing Date
26 May 2000

Examiner
Lovering

Group Art Unit
1712

Title:

Water-Thin Emulsions With Low Emulsifier Levels

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

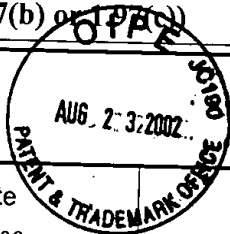
- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
99.01

In Re Application Of:
George, et al.



Serial No.
09/580743

Filing Date
26 May 2000

Examiner
Lovering

Group Art Unit
1712

Title:

Water-Thin Emulsions With Low Emulsifier Levels

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 05-1320 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of 180
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on August 20, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Peter Giancana

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Dated: AUG 19, 2002

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CC: